

Legal Audits & Corporate Compliance ©

Objective: A company may incur monetary damages if it violates a law or regulation. However, it may also suffer damage to its reputation and future prospects. This course will focus on corporate compliance and risk management. It will address how a company can minimize the likelihood of legal liability. It will also address the auditor's duty to uncovering and reporting a client's illegal acts.

What you will learn:

- What is involved in a legal audit
- Exactly what a company can do to minimize its liability exposure
- Steps a company can take to reduce its risks and avoid disputes
- What a company should do if it is sued, and what it should not do
- A company's disclosure obligations regarding litigation
- An auditor's duty to detect illegal acts
- How a company should respond when an illegal act is discovered
- How to manage governance, risk, and compliance
- The role of an internal auditor

CPE: 4 hours

Level: Overview

Category: 2 hours A & A, 2 hours technical consulting

Prerequisites: None

Instructor: Dennis J. Gerschick

Code: LACC