

Accounting & Auditing

Accountant's & Auditor's Mistakes

Accountants and auditors sometimes do make mistakes and their mistakes make cause them to be liable to their clients or to third parties. They may also be fined or sanctioned by the SEC, state officials, or others. In addition, their professional reputation may be damaged. This session will focus on court decisions and administrative action taken in which accountants or auditors have been held liable or sanctioned. More importantly, it will focus on what should have been done to avoid that result.

Audit Committees – The Auditor's Auditor

Not every company has an audit committee but many would benefit from having one. This session will focus on what an audit committee is required to do under SAS 99 and what other things it should do. The speaker, who is a lawyer and serves on Boards of Directors and an audit committee, will explain how many audit committees operate. He will also offer numerous suggestions on how an audit committee can be more effective and better manage a company's level of risk.

Internal Controls – A Director's Perspective

This session will address a company's internal control system from the perspective of a member of the Board of Directors. It will explore how the Board and management should interact with respect to the company's internal control system and risk management. It will also highlight the qualities that a board member should possess.

Reviewing A Company's Financial Statements – What are They REALLY Saying?

How is a company really doing? Is it getting better or worse? This session will focus on how management or a Board of Directors should analyze their company's financial statements. The speaker, who is an attorney, CPA, and CFA, serves on Boards of Directors and an audit committee. He will offer numerous practical tips on how a CFO or controller can improve their presentations of a company's financials to make them more effective. This session can also be tailored for a not-for-profit.